MARA RACE PUTIPIU 21 MAR 2005

FORM PTO-1390 (REV. 2-2005) U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ATTORNEY'S DOCKET NUMBER **P/1071-1615**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

TECATION NO. (II KNOWII, SEE 37 CFR

10/573058

INTERNATIONAL APPLICATION NO.

PCT/JP2004/013111

INTERNATIONAL FILING DATE

9 September 2004

PRIORITY DATE CLAIMED

22 September 2003

TITLE OF INVENTION

METHOD AND DEVICE FOR MOUNTING LIGHT EMITTING ELEMENT

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

APPLICANT(S) FOR DO/EO/US

Shigeki FUKUNAGA

- Ar | cant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: X | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
- This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- 3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [X] is attached hereto (required only if not communicated by the International Bureau).
 - b. [X] has been communicated by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. [X] is attached hereto.
 - b. [] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are attached hereto (required only if not communicated by the International Bureau).
 - b. [] have been communicated by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [X] An Executed oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment.
- 14. [] An Application Data Sheet under 37 CFR 1.76
- 15. [X] A substitute specification.
- 16. [] A power of attorney and/or change of address letter.
- 17. [] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- 18. [] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. [] A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information (specify): 10 sheets of drawings; EFS form; postcard; publication, International Search Report, PTO-1449 form, Written Opinion, three cited references, and marked-up copy of substitute specification

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EV324436270US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450, on March 21, 2006:

Dorothy Jenkins

Name of Person Mailing Correspondence

Signature & Signature

March 21, 2006
Date of Signature

				· Ap	9 Rei	c'dPCT/PT	0 21 MAR	2006	
U.S. APP4COONS(17)37. 035.FB1.5)				INTERNATIONAL APPLICATION NO. PCT/JP2004/013111				ATTORNEY'S DOCKET NUMBER P/1071-1615	
							CALCULATIONS	PTO USE ONLY	
The following fees have been submitted 21. [X] Basic national fee				\$300			\$300.00		
22. [X] Examination fee							\$200.00		
If International preliminary examination report prepared by USPTO and all claims satisfy									
provisions of PCT Article 33(1)-(4)							Ì		
23. [X] Search fee							\$400.00		
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an									
International Searching Authority									
All other situations									
TOTAL OF 21, 22 and 23 =							\$900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding							\$		
sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets Extra sheets Number of each				ional 50 or RATE			-		
<u></u> .	fraction there		eof (round up to a whole nun		imber)				
100 =	0 = /50 =				x \$250		\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							\$		
CLAIMS	NUMBER FI		NUMBER EXTRA		RATE		\$		
Total Claims 1		4 - 20 =)	x \$ 50		\$		
Independent Claims		2 - 3 =	0	,		\$200	\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360						\$360	\$		
TOTAL OF ABOVE CALCULATIONS =							\$900.00		
[] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							\$		
SUBTOTAL =							\$900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$		
TOTAL NATIONAL FEE =							\$900.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be							\$40.00		
accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED =							\$940.00		
							Amount to be	\$	
							refunded: Amount to be	\$	
	2.1.5						charged:		
a. [X] A check (check numb. [] Please charge my De	eposit Accou	int No. 15-0700							
A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any									
overpayment to Dep NOTE: Where an appropriate time	osit Accoun ne limit under	t No. 15-0700. 37 CFR 1.495 has	A duplicate	copy of thai	s sheet	is enclosed.		nd granted to	
restore the International	Application to	pending status.		- 	 			-	
SEND ALL CORRESPONDENCE TO: James A. Finder					X/ \	\mathcal{L}			
Ostrolenk, Faber, Gerb & Soffen, LLP 1180 Avenue of the Americas				James A.					
New York, New York 10036-8402				Registration Number: 30,173			March 2	1, 2006	
Form PTO-1390 (REV 2-2005)				Telephóne: (212) 382-0700 Page 2 of 2					

'AP9 Rec'd FUTTO 2 1 MAR 2006

P/1071-1615

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

New York, New York

Shigeki FUKUNAGA

Date: March 21, 2006

Serial No.: To be assigned

Group Art Unit: Unassigned

Filed: Herewith

Examiner: Unassigned

For: METHOD AND DEVICE FOR MOUNTING LIGHT EMITTING ELEMENT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUBMISSION

Sir:

Submitted herewith is a copy of art together with a form listing the same

for the convenience of the Examiner.

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label EV 324 436 270 US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 21, 2006:

Dorothy Jenkins

Name of Person Mailing Correspondence

Signature March 21, 2006

Date of Signature

JAF:lf Enclosures Respectfully submitted,

James A. Finder

Registration No.: 30,173

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